

U.S. DEPARTMENT OF EDUCATION

Education Data Exchange Network (EDEN)

EMAPS User Guide: IDEA Part C Dispute Resolution Survey

Release 2.0

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1.0	09/20/2012	Version 1.0 of this document introduces the first release of the IDEA Part C Dispute Resolution Survey in E <i>MAPS</i>
2.0	9/19/2013	Updated for 2012-13 added section on New Year to Year Comparison Report

PREFACE

The EMAPS IDEA Part C Dispute Resolution User Guide is intended to provide assistance to users of the EDFacts Metadata and Process System (EMAPS). This guide demonstrates the steps necessary to enter IDEA Part C Dispute Resolution data and navigate this survey in EMAPS.

This guide will be updated if major system modifications affect user procedures.

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1.0 INTRODUCTION

The EDFacts Metadata and Process System (EMAPS) is a Web-based tool used to provide State Lead Agencies with an easy method of reporting and maintaining data to (1) meet Federal reporting requirements, and (2) information on state policies, plans, and metadata in order to aid in the analysis of data collected.

1.1 Technological Requirements

Before You Begin:

Internet Explorer versions 8 or 9 and Firefox are the preferred browsers for accessing EMAPS.

2.0 Overview

This survey has been developed to collect data under Section 618 of IDEA, Part C of the Individuals with Disabilities Education Act (IDEA). This information is entered by the IDEA Part C Data Managers. The survey provides information on the following and is organized to provide the counts for the number of occurrences in the following sections:

<u>Written, signed complaints</u> which are defined as a signed, written document submitted to a lead agency by an individual or organization (complainant) that alleges a violation of a requirement of Part C of IDEA of 34 CFR Part 303, including cases in which some required content is absent from the document.

<u>Mediation requests</u> which are defined as a request by a party to a dispute involving any matter under Part C of IDEA for the parties to meet with a qualified and impartial mediator to resolve the dispute(s).

<u>Due process complaints</u> which are defined as a filing by a parent or early intervention service provider or lead agency to initiate an impartial due process hearing on matters relating to the identification, evaluation, or placement of an infant or toddler with a disability (IDEA), or to the provision of appropriate early intervention services to the child.

This report shall be run for 50 states plus the District of Columbia, Puerto Rico, Virgin Islands, American Samoa, Guam, and Northern Marianas.

3.0 FREQUENTLY ASKED QUESTIONS

Below is a list of frequently asked questions regarding this E*MAPS* IDEA Part C Dispute Resolution Survey process. Additional questions about how the process works or suggestions for enhancements to the process should be directed to the Partner Support Center at EDEN_SS@ED.GOV.

What is the primary use of this information?

The IDEA Part C Dispute Resolution Survey provides the U.S. Department of Education (ED) information on the counts of occurrences for the following:

- Written, signed complaints
- Mediation Requests
- Due process complaints

The data collected using this survey is required by the Individuals with Disabilities Education Act (IDEA), Section 618.

The data will be used as responses in Table 4, "Report of Dispute Resolution Under Part C of the Individuals with Disabilities Education Act."

The data are also used for monitoring the programs and activities under IDEA and reported in the Office of Special Education Programs (OSEP)'s Annual Report to Congress on the Implementation of IDEA.

Who may have access to my state's IDEA Part C Dispute Resolution Survey?

Each state IDEA Part C Data Manager has been granted read/write access to the EMAPS online survey. Other users will be granted access at the request of the state.

If the Part C Data Manager wishes to authorize another user to complete this survey, please contact the Partner Support Center (PSC) and provide the name and e-mail address of the user(s) that will be added.

Phone: (877) 457-3336 Email: <u>EDEN_SS@ed.gov</u> TTY#: (888) 403-3336

The PSC is open from 8 a.m. to 6 p.m. ET, Monday through Friday.

Will the system send any notifications?

EMAPS will send notifications to Part C Data Managers at the following times:

- When the system is open
- When data has been submitted

- When there has been no account activity. Notifications will be sent two weeks and three days prior to due date
- When data has been entered, but not submitted three days prior to due date
- If there are data errors in the submissions three days prior to due date

Additionally, when the system is reopened for data resubmission, notifications will be sent when:

- Resubmission is required
- The system has been reopened
- Corrected data has not been submitted one week prior to close of the reopen period
- One week prior to the close of the reopen period

When are the data due?

The completed survey is due no later than 11:59 (ET), November 6, 2013.

There will be a reopen period between May 7, 2014 and June 4, 2014 at 11:59pm ET. The data will be frozen on June 4th to be used by OSEP in the Annual Report to Congress, public reporting of the IDEA Section 618 data, and ad hoc requests.

Do <u>not</u> submit preliminary or placeholder data just to meet the submission deadline. The submission of the survey responses will be assessed by the Office of Special Education Programs (OSEP) for timeliness, completeness, and accuracy. Data submissions with missing data elements are rated by OSEP as "incomplete."

The review of data for purposes of the APR will be based on data in the EMAPS system as of 11:59: (ET) on the due date.

Are all states required to submit the IDEA Part C Dispute Resolution Survey via EMAPS for SY 2012-13?

Yes; For SY 2012-13, the Part C Dispute Resolution Survey will be submitted by 50 states plus the District of Columbia, Puerto Rico, Virgin Islands, American Samoa, Guam, and Northern Marianas.

What reporting year will this data collection cover?

The IDEA Part C Dispute Resolution Survey should cover an entire year of counts. For the 2012-13 data collection (that is due no later than 11:59 (ET) on November 6, 2013), the reporting year is defined as July 1, 2012 through June 30, 2013.

NOTE: States shall report only the actions initiated during the 2012-13 reporting year, Do <u>NOT</u> include actions initiated in a previous reporting year that continued into the 2012-13 reporting year.

When are zero counts permitted in this survey?

A zero count should be used only if the state conducted a count for that data element and there were no occurrences to report in the specific category for the given reporting period. Zero is the default value which appears when a submitter first enters the "Data Entry Form." Zeros must also be used when data is designated as Missing.

When should I report Missing?

The user should report a count as "Missing" when the State did not or cannot report a count for the specific category..

NOTE! When submitting "Missing", the user should enter a "0" or leave the default "0" and select the "Missing" check-box.

I see "NaN" or "NaN is not an integer" displayed on my survey, what does this mean?

"NaN" or "NaN is not an integer" will appear if a field is left blank, contains an alpha character, a decimal number, or a negative integer has been entered in the field. Entries are limited to numeric whole numbers and cannot be left blank.

Will I have access to the survey after I have submitted data to database?

Yes. Users will be able to access their state's survey until the November 6 due date. After the due date, a user may review the survey report but may <u>NOT</u> modify data until the re-open period (May 7 and June 4, 2014). During the open periods, IDEA Part C Data Managers will be able to override any previously submitted versions of their state survey by entering the survey process and submitting the survey again. There are no limits to the number of times a user can submit this survey. A history of all submitted versions will be archived in the IDEA Part C Dispute Resolution Reports repository.

NOTE! ED will use only the latest submitted version of the survey on the due date/ freeze dates for reporting purposes.

NOTE! EMAPS must go down each night. It will go down at 2:00 AM (ET) for about two hours.

When can I revise my state's survey after the due date?

Users will be able to access the survey to modify data during the re-open period between May 7 and June 1, 2014 States will receive notification from OSEP of follow

up needed via email after the due date and prior to May 6, 2014. The survey will reopen for resubmissions from May 7- June 4, 2014.

4.0 Accessing EMAPS Step-By-Step Instructions

For assistance logging into EMAPS, please contact the Partner Support Center (PSC).

Email: eden_ss@ed.gov

Telephone: 877-457-3336 (877-HLP-EDEN) TTY/TDD: 888-403-3336 (888-403-EDEN)

The PSC is open from 8 a.m. to 6 p.m. ET, Monday through Friday.

4.1 Logging into the E*MAPS* web site directly

To log in directly to EMAPS, go to https://emaps.ed.gov/suite/ (see fig.1). Users will enter their assigned username and password for access to the survey.

NOTE! In EMAPS, both username and password are case sensitive.

Figure 1.

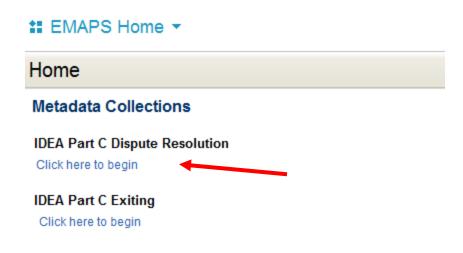


5.0 Launching the Survey from the EMAPS Home Page

5.1 E*MAPS* Home Page

When you log into E*MAPS*, you are immediately taken to the E*MAPS* Home page. Under the Metadata Collections header you will see the IDEA Part C Dispute Resolution, click the link "Click Here to Begin" to start the process (see fig. 2).

Figure 2.



5.2 IDEA Part C Dispute Resolution Start Page

Once you have clicked on the "Click Here to Begin" link, you will see the survey start link for your state or entity (see fig. 3). Click the link "IDEA Part C Dispute Resolution [state's name]" to enter the IDEA Part C Dispute Resolution Dashboard.

Figure 3.

EMAPS Home ▼

Part C Dispute Resolution

Part C Dispute Resolution

Name

IDEA Part C Dispute Resolution Colorado

If a user attempts to access the survey from this start page after the survey is closed for data submission, they will see a blank screen as displayed below (see fig.4) Figure 4.



6.0 The IDEA Part C Dispute Resolution Dashboard

The IDEA Part C Dispute Resolution dashboard will contain two links (See fig. 5).

- 1 The Data Entry form This form is where Part C Data Managers will input data and save a draft version of the survey.
- 2 The Review and Submit form The form is where Part C Data Managers will review the entered counts for edit checks (errors) or submit the survey to the database. Submitting data is the final step in the survey process.

Figure 5.



7.0 The Data Entry Form

To begin submitting your IDEA Part C Dispute Resolution survey users will select the Data Entry form link (see fig.5). The Data Entry form will consist of 3 sections:

Section A: Written, Signed Complaints

Section B: Mediation Requests

Section C: Due Process Complaints

- When entering your counts for the survey, complete each section by entering a numeric integer value for each of the data fields provided.
- Zeros will appear as the default values in the survey data fields.
- Zero counts should be entered only if a state conducted a count for that data element and there were no occurrences to report in the specific category for the given reporting period.
- Check the missing box to report a count as missing.
- Submitters should be aware that the survey data fields accept only an integer value; users must enter a zero when marking counts as "Missing".

Users will be unable to exit this page without selecting the "Save as Draft" button or by clicking on the close window button from your browser.

If you close the survey window from the browser without clicking the "Save as Draft" button, data <u>will not</u> save and your E*MAPS* survey session will end. If fatal errors exist in the form, the data will not save.

7.1 Section A: Written, Signed Complaints Specific Instructions

Figure 7.

Year 2011-12 A zero count should be used when there were no events or occurrences to report in the specific category for the given reporting period. Check "Missing" if the state did not collect or could not report a count for the specific category. Please provide an explanation for the missing data in the comment box at the bottom of the page. Section A: Written, Signed Complaints (1) Total number of written, signed complaints 0 (1.1) Complaints with reports issued. * 0 (1.1)(a) Reports with findings of noncompliance. ☐ Missing * 0 (1.1) (b) Reports within timelines. ☐ Missing * 0 (1.1) (c) Reports within extended timelines. ☐ Missing * 0 (1.2) Complaints pending * 0 ☐ Missing (1.2) (a) Complaints pending a due process * 0 (1.3) Complaints withdrawn or dismissed □ Missing * 0

Row 1 (see fig. 7). Displays the total number of written, signed complaints filed between July 1, 2012 and June 30, 2013. The value is autocalculated for this section: Row 1 = 1.1+1.2+1.3.

• In row 1.1, enter how many of the written, signed complaints (row 1) were complaints with reports issued as of 60 days following the end of the reporting period; that is, enter how many of the complaints had a written decision from the lead agency as of August 29, 2013. Row 1.1 is a subset of row 1.

In row 1.1(a), enter how many of the reports issued were reports with findings of noncompliance. Row 1.1(a) is a subset of row 1.1.

Note that the difference between the number entered in row 1.1 and the number entered in 1.1(a) is the number of reports without findings of noncompliance.

In row 1.1(b), enter how many of the reports issued were reports within timelines (60 days). Do <u>NOT</u> include any written decisions issued more than 60 days after the written, signed complaint was filed. Row 1.1(b) is a subset of row 1.1.

In row 1.1(c), enter how many of the reports issued were reports within extended timelines. Row 1.1(c) is a subset of row 1.1.

Note that the difference between the number in row 1.1 and the sum of the numbers entered in rows 1.1(b) and 1.1(c) is the number of complaints with reports issued late (not within the 60 day timeline or an extended timeline).

• In row 1.2, enter how many of the written, signed complaints (row 1) were complaints pending as of August 29, 2013 (60 days following the end of the reporting period). Row 1.2 is a subset of row 1.

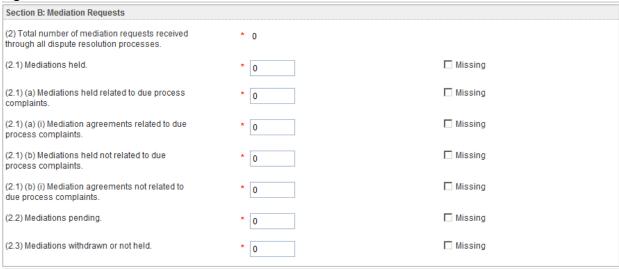
In row 1.2(a), enter how many of the pending complaints were complaints pending a due process hearing. Row 1.2(a) is a subset of row 1.2.

Note that the difference between the number in row 1.2 and the number in row 1.2(a) is the number of complaints pending for reasons other than pending a due process hearing.

• In row 1.3, enter how many of the written, signed complaints (row 1) were complaints withdrawn or dismissed as of August 29, 2013 (60 days following the end of the reporting period). Row 1.3 is a subset of row 1.

7.2 Section B: Mediation Requests

Figure 8.



Row 2 (see Fig. 8). Displays the total number of mediation requests received between July 1, 2012 and June 30, 2013. The value is calculated for this section: Row 2 = 2.1+2.2+2.3.

NOTE! The sum of 2.1, 2.2 and 2.3 is equal to the total number of mediations requested (row 2).

- In row 2.1, enter how many of the mediation requests (row 2) resulted in mediations held as of the end of the reporting period (June 30, 2013). Row 2.1 is a subset of row 2.
- In row 2.1(a), enter how many of the mediations held were mediations held related to due process complaints. Row 2.1(a) is a subset of row 2.1.

In row 2.1(a)(i), enter how many of the mediations held related to due process complaints resulted in mediation agreements as of the end of the reporting period (June 30, 2013). Row 2.1(a)(i) is a subset of row 2.1(a).

Note that the difference between the number entered in row 2.1(a) and the number entered in row 2.1(a)(i) is the number of mediations held related to due process complaints that did not result in a mediation agreement.

• In row 2.1(b), enter how many of the mediations held were mediations held not related to due process complaints. Row 2.1(b) is a subset of row 2.1.

In row 2.1(b)(i), enter how many of the mediations held not related to due process complaints resulted in mediation agreements as of the end of the reporting period (June 30, 2013). Row 2.1(b)(i) is a subset of row 2.1(b).

Note that the difference between the number entered in row 2.1(b) and the number entered in row 2.1(b)(i) is the number of mediations held not related to due process complaints that did not result in a mediation agreement.

NOTE! The sum of 2.1(a) and 2.1(b) must equal the total number of mediations held (row 2.1).

- In row 2.2, enter how many of the mediation requests (row 2) were mediations pending as of the end of the reporting period (June 30, 2013). This includes mediation requests that were pending as of the end of the reporting period. Row 2.2 is a subset of row 2.
- In row 2.3, enter how many of the mediation requests (row 2) were mediations not held as of the end of the reporting period (June 30, 2013). Row 2.3 is a subset of row 2.

7.3 Section C: Due Process Complaints

Figure 9.

Section C: Due Process Complaints		
(3) Total number of due process complaints filed.	0	
	art C due process hearing procedures art B due process hearing procedures	
(3.1) Resolution meetings (applicable ONLY for states using Part B due process hearing procedures).	* 0	☐ Missing
(3.1) (a) Written settlement agreements reached through resolution meetings.	* 0	☐ Missing
(3.2) Hearings fully adjudicated.	* 0	☐ Missing
(3.2) (a) Decisions within timeline.	* 0	☐ Missing
(3.2) (b) Decisions within extended timeline.	* 0	☐ Missing
(3.3) Hearings pending.	* 0	☐ Missing
(3.4) Due process complaints withdrawn or dismissed (including resolved without a hearing).	* 0	☐ Missing

Row 3 (see Fig. 9). Displays the total number of due process complaints filed between July 1, 2012 and June 30, 2013. This value is auto calculated: Row 3 = 3.2+3.3+3.4.

After answering the question concerning due process hearing procedures, states only need to answer questions 3.1 and 3.1a if they have adopted the Part B due process hearing procedures.

• In row 3.1, enter how many of the due process complaints (row 3) resulted in a resolution meeting as of the end of the reporting period (June 30, 2013), if your state has adopted Part B due process hearing procedures. Row 3.1 is a subset of row 3.

In row 3.1(a), enter how many resolution meetings resulted in a written settlement agreement as of the end of the reporting period, if your state has adopted the Part B due process hearing procedures. Row 3.1(a) is a subset of rows 3.1 and 3.4.

Note that the difference between the number entered in row 3.1 and the number entered in row 3.1(a) is the number of resolution meetings held that did not result in a written settlement agreement as of the end of the reporting period (June 30, 2013).

• In row 3.2, enter how many of the due process complaints (row 3) resulted in hearings fully adjudicated as of the end of the reporting period, that is, the due process hearing was conducted and the hearing officer issued a written decision by June 30, 2013. Row 3.2 is a subset of row 3.

Note that for row 3.2(a) States must apply one timeline for written decisions for due process complaints; this timeline is a 30-day timeline under 34 CFR §303.437(b) if the State has adopted Part C due process hearing procedures under 34 CFR §303.430(d)(1), OR a 30- or 45-day timeline under 34 CFR §303.447(a) if the State has adopted the Part C due process hearing procedures under 34 CFR §303.430(d)(2).

In row 3.2(a), enter how many of the written decisions were decisions within timeline. (Do not include here the decisions within extended timelines.) Row 3.2(a) is a subset of row 3.2.

In row 3.2(b), enter how many of the written decisions included in row 3.2 were decisions within appropriately extended timelines. (Decision must be within specific time extension granted by the hearing or reviewing officer). Row 3.2(b) is a subset of row 3.2.

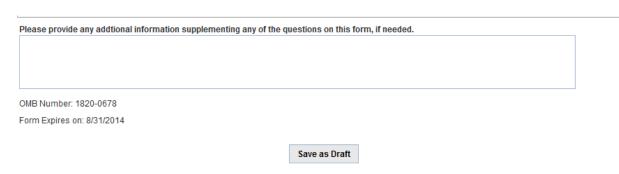
Note that the difference between the number in row 3.2 and the sum of the numbers in rows 3.2(a) and 3.2(b) is equal to the number of decisions issued beyond the relevant timeline.

- In row 3.3, enter how many of the due process complaints (row 3) were hearings pending as of the end of the reporting period (June 30, 2013). Row 3.3 is a subset of row 3.
- In row 3.4, enter how many of the due process complaints (row 3) were withdrawn or dismissed (including resolved without a hearing) as of the end of the reporting period (June 30, 2013). Row 3.4 is a subset of row 3.

7.4 Comments Box

Figure 10.

U.S. DEPARTMENT OF EDUCATION EMAPS User Guide: IDEA Part C Dispute Resolution Survey



If any field is designated as missing, a detailed explanation should be provided in the comment box. Any other pertinent data notes may also be included. (see fig. 10) located at the bottom of the Data Entry form. Please note this comment box has a 4000 character limit.

7.5 Saving Data as a Draft

In order to exit the survey and return to your last stopping point you must first save a draft version by clicking the "Save as Draft" button (see fig. 10). Once the data is saved, you will be redirected to the dashboard.

Saving data as a draft will save the content entered on the form but <u>will not</u> generate the finalreports. HTML and Year to Year Comparison reports will <u>only</u> be generated after the survey has been submitted to the database from the Review and Submit form. If a user closes the EMAPS survey window without first saving a draft version, information will not be saved.

EMAPS sessions will time out after an hour of inactivity. If your EMAPS session times out before you have saved a draft version, your data will be lost.

A warning is generated by the system after an hour of inactivity, (see fig. 11). Your session will end in 2 minutes, if no activity is made. To avoid inactivity the user can click within the EMAPS survey form.

Figure 11.



7.6 Fatal Errors on Data Entry Form

A fatal error will display in the Data Entry form when an unacceptable variable has been entered into a data field. Users will NOT be able to save a draft if these errors are not corrected and will prevent access back to the survey dashboard.

Errors may include the following:

- Missing is selected when a value has been provided
- A field is left blank
- The value is not an integer
- A negative number is inputed.

Screenshots of the errors that may fire are shown below in figure 12:

Figure 12

*	1	✓ Missing Missing is not a valid selection when a value has been provided.
*	cannot be left blank.	☐ Missing
*		☐ Missing
*	The value provided is not an	□ Missing
	integer.	_
*	-9	Missing
	Value must be a positive integer or 0	

8.0 The Review and Submit Form

Submitters will need to be in the Review and Submit form in order to save the survey to the database and complete the submission process. Once the survey has been submitted to the database an HTML report and a Year to Year Comparison report containing the survey results will be auto generated and available to the state IDEA Part C Data Manager. Once the survey has been submitted to the database, ED will have access to the state's survey responses as well.

Users will be unable to exit this page without selecting "To Dashboard" or the "Submit Data to Database" buttons. If a user closes the survey browser window your data <u>will</u> not save and you will end your E*MAPS* survey session.

NOTE! To complete the survey and submit the data to ED, the user must select the "Submit Data to Database" button.

8.1 Reviewing and Editing the Survey

If submitted data fails edit checks, the following warning message is displayed at the bottom of the Review and Submit form with a list of all failed edit check logic (See fig. 14) in red, "Please verify the data entered for the following questions and ensure that the counts for the questions match as shown on the failed edit check(s)"...

Part C Dispute Resolution Edit Checks:
Question 3.1 shall be < question 3.
Question 1.1.a shall be < question 1.1
Questions (1.1.b + 1.1.c) shall be < question 1.1
Question 1.2.a shall be < question 1.2
Questions (2.1.a + 2.1.b) shall be = question 2.1
Questions 2.1.a.i shall be < question 2.1.a
Questions 2.1.b.i shall be < question 2.1.b
Question 3.1.a shall be < question 3.1
Questions (3.2.a + 3.2.b) shall be < question 3.2

Figure 14.

Comments:

Please provide any additional information supplementing any of	of the questions on this for	m, if needed (4000 character limit).
Please note that the data entered result in the follow	ing relationships which	violate edit checks: 1.1.b + 1.1.c > 1.1
Please provide any additional information supplement	nting any errors on the	form, if needed.
OMB Number: 1820-0678		
Form Expires on: 8/31/2014		
_		
	To Dashboard	Submit Data to Database
_		

In order to make any changes to the survey select the "To Dashboard" button and return to the survey dashboard and select the data entry form to make your changes.

If for some reason you need to submit the form with any errors, you should enter a comment to explain why you are submitting the data with errors.

8.2 Submitting Data to Database

If the data pass the edit checks, the following message will be displayed, "There are no errors. Please submit the results of the survey by clicking the "Submit Data to Database" button." (See fig.15).

Once you click the Submit Data to Database button, final reports will be auto generated and posted to the Reports Repository located on the E*MAPS* Home page. The IDEA Part C Data Manager will receive a confirmation email containing the reports as well.

Figure 15.

Please provide any additional information supplementing any	of the questions on this for	m, if needed (4000 character limit)	
There are no errors. Please submit the results of the	ne survey by clicking the	e 'Submit Data to Database' b	utton.
Please provide any additional information suppleme	enting any errors on the	form, if needed.	
OMB Number: 1820-0678			
Form Expires on: 8/31/2014			
	To Dashboard	Submit Data to Database	

9.0 Accessing IDEA Part C Dispute Resolution HTML and Year to Year Comparison Reports

New! A Year to Year Comparison report will be included in both the submission

confirmation email as well as in the reports repository. The .csv report will display data from the prior year so that changes may be tracked. In future years, the report will display three years worth of data, but for the 2012-13 reporting year, only 2011-12 is available for comparison.

The HTML and Year to Year Comparison reports will be generated when the data is submitted to the database. The user will be able to open and print the reports and a copy will be e-mailed to Part C data managers

To access your state's reports, select the Part C Reports link folder located under the "Reports and Files" section on the right hand side of the E*MAPS* Home page. (See fig.16)

Figure 16.



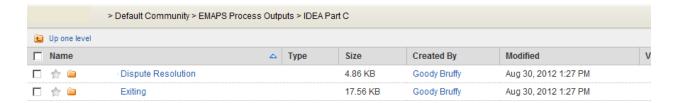
Once you click on the survey folder your state's folder will display (see fig. 17).

Figure 17.



Once you have clicked your state's folder select the Dispute Resolution Folder for your state (see fig. 18).

Figure 18.



In this folder you will find copies of the HTML and Year to Year reports. (see fig. 19)

Figure 19.



If your state has submitted multiple surveys, users should reference the time date stamp to locate the most recent submission. The most recent submission will be the survey that was last submitted to the database and the collection ED will use for analysis. Once you click on an option, the HTML report will appear (see fig. 19).

Figure 19.



Colorado

IDEA Part C - Dispute Resolution

Year 2011-12

Section A: Written, Signed Complaints

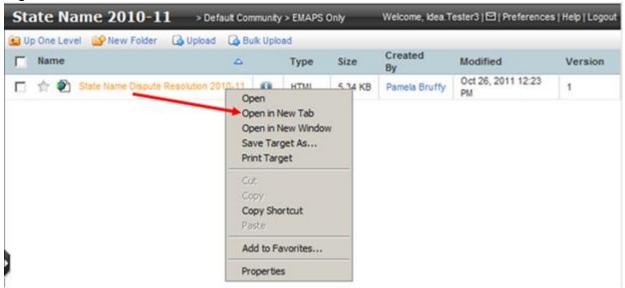
(1) Total number of written, signed complaints filed.	0
(1.1) Complaints with reports issued.	0
(1.1)(a) Reports with findings of noncomplaince.	0
(1.1) (b) Reports within timelines.	0
(1.1) (c) Reports within extended timelines.	0
(1.2) Complaints pending.	0
(1.2) (a) Complaints pending a due process hearing.	0
(1.3) Complaints withdrawn or dismissed.	0

Section B: Mediation Requests

IDEA Part C Data Managers can save this report to their desktop or print the report as a hard copy.

When opening a state's HTML report by clicking the survey link, the HTML report will not open in a new tab. If you still plan to work within EMAPS you will need to use the back button to return to the previous screen. To open the report in new tab users should right click on the HTML survey report link and select "Open in a New Tab." Users are given the option to save from this menu as well (see *fig. 21*).

Figure 21.



The edit checks that were violated by the data submitted will be displayed on the HTML survey report, located under the comment box (see Fig 22). These edits will appear just as they do in the Review and Submit form; only, the edits on the HTML reports will not be displayed in red text.

Figure 22.

Comment: Please note that the data entered result in the following relationships which violate edit checks: 1.1.b + 1.1.c > 1.1

To remove the edit checks from displaying in your HTML report submitters must correct the edits in the Data Entry form. Submitters must re-submit the survey through the Review and Submit form to override the previous submission.

The Year to Year Comparison report appears as shown in figure 21. As a .csv file, it will open using Excel.

Figure 21.

U.S. DEPARTMENT OF EDUCATION EMAPS User Guide: IDEA Part C Dispute Resolution Survey

A	U	C	U	L	1	U	- 11
EMAPS - IDEA Part C Exiting							
Year 2012-13							
StateName:							
Created: 9/11/2013 8:58 AM EDT	Year 1	Year 2	Year 3	Difference	Difference	% Change	% Change
	2010-11	2011-12	2012-13	Year 1 - 2	Year 2 - 3	Year 1 - 2	Year 2 - 3
 No longer eligible for Part C prior to r 	eaching age three.		7	8		1	14.29
Part B eligible exiting Part C.			7	16		9	128.57
3. Part B eligible continuing in Part C			7	18	1	1	157.14
4. Not eligible for Part B exit with refer	rals to other programs.		7	21	1	.4	200
5. Not eligible for Part B exit with no re	ferrals.		7	17	1	.0	142.86
Part B eligibility not determined.			7	4		3	-42.86
7. Deceased.			7	9		2	28.57
8. Moved out of state.			7	11		4	57.14
9. Withdrawal by parent (or guardian).			7	10		3	42.86
10. Attempts to contact unsuccessful.			7	8		1	14.29

This report displays data submitted for up to three years, as well as the Percent change between years.

10.0 Glossary of Data Elements

Complaint pending – A written, signed complaint that is either still under investigation or the lead agency's written decision has not been issued.

Complaint pending a due process hearing – A written, signed complaint in which one or more of the allegations in the complaint are the subject of a due process complaint that has not been resolved.

Complaint with report issued – A written decision was provided by the lead agency to the complainant regarding alleged violations of a requirement of Part C of IDEA.

Complaint withdrawn or dismissed – A written, signed complaint that was withdrawn by the complainant for any reason or that was determined by the lead agency to be resolved by the complainant and the early intervention service provider or lead agency through mediation or other dispute resolution means and no further action by the lead agency was required to resolve the complaint; or a complaint dismissed by the lead agency for any reason, including that the complaint does not include all of the required content.

Decision within extended timeline - For States using the Part C due process hearing procedures, the written decision from a hearing fully adjudicated was provided to the parties in the hearing more than 30 days after the receipt of the due process complaint, but within a specific time extension granted by the hearing officer at the request of either party. For States using the Part B due process hearing procedures, a decision within extended timeline is the written decision (from a hearing fully adjudicated) provided to

the parties in the hearing more than 30 or 45 days (whichever hearing timeline the State has adopted under 34 CFR §303.447(a)) after the expiration of the resolution period, but within a specific time extension granted by the hearing or reviewing officer at the request of either party.

Decision within timeline – For States using the Part C due process hearing procedures, the written decision from a hearing fully adjudicated was provided to the parties in the due process hearing not later than 30 days after the receipt of the due process complaint. For States using the Part B due process hearing procedures, a decision within timeline is the written decision (from a fully adjudicated hearing) provided to the parties in the hearing not later than 30 days or 45 days (whichever hearing timeline the State has adopted under 34 CFR §303.447(a)) after the expiration of the resolution period.

Due process complaint – A filing by a parent, early intervention service provider, or lead agency to initiate an impartial due process hearing on matters relating to the identification, evaluation, or placement of an infant or toddler with a disability, or to the provision of appropriate early intervention services to such child.

Due process complaints withdrawn or dismissed – A due process complaint that has not resulted in a fully adjudicated due process hearing and is also not under consideration by a hearing officer. This includes due process complaints resolved through a mediation agreement or through a written settlement agreement, those settled by some other agreement between the parties (parent and early intervention service provider or lead agency) prior to completion of the due process hearing, those withdrawn by the filling party, those determined by the hearing officer to be insufficient or without cause, and those not fully adjudicated for other reasons.

Hearing fully adjudicated – A hearing officer conducted a due process hearing, reached a final decision regarding matters of law and fact and issued a written decision to the parties.

Hearing pending – A request for a due process hearing that has not yet been scheduled, is scheduled but has not yet been conducted, or has been conducted but is not yet fully adjudicated. (See definition for hearing fully adjudicated).

Mediation agreement – A written legally binding agreement signed by a parent and a representative of the lead agency who has authority to bind the lead agency, that specifies the resolution of any issues in the dispute that were reached through the mediation process. A mediation agreement that fully or partially resolves issues in dispute is included in "mediation agreements."

Mediation held – A process conducted by a qualified and impartial mediator to resolve a disagreement between parties to a dispute involving any matter under Part C of IDEA and that concluded with or without a written mediation agreement between the parties.

Mediation held not related to due process complaint – A process conducted by a qualified and impartial mediator to resolve a disagreement between parties to a dispute involving any matter under Part C of IDEA that was not initiated by the filing of a due process complaint or did not include issues that were the subject of a due process complaint.

Mediation held related to due process complaint – A process conducted by a qualified and impartial mediator to resolve a disagreement between parties that was initiated by the filing of a due process complaint or included issues that were the subject of a due process complaint.

Mediation not held— A request for mediation that did not result in a mediation being conducted by a qualified and impartial mediator. This includes requests that were withdrawn, requests that were dismissed, requests where one party refused to mediate, and requests that were settled by some agreement other than a mediation agreement between the parties.

Mediation pending – A request for mediation that has not yet been scheduled or is scheduled but has not yet been held.

Mediation request – A request by a party to a dispute involving any matter under Part C of IDEA for the parties to meet with a qualified and impartial mediator to resolve the dispute(s).

Report with findings of noncompliance - The written decision provided by the lead agency to the complainant in response to a written, signed complaint, which finds the early intervention service provider or lead agency to be out of compliance with one or more requirements of Part C of IDEA or 34 CFR Part 303.

Report within extended timeline – The written decision from the lead agency was provided to the complainant more than 60 days after the written, signed complaint was filed, but within an appropriately extended timeline. An appropriately extended timeline is an extension beyond 60 days that was granted due to exceptional circumstances that exist with respect to a particular complaint; or if the parent and the lead agency, public agency or early intervention service provider involved agreed to extend the time to engage in mediation, or to engage in other alternative means of dispute resolution, if available in the State or under State procedures.

Report within timeline – The written decision from the lead agency to the complainant was provided not later than 60 days after receiving the written, signed complaint.

Resolution meeting – For States that have adopted Part B due process hearing procedures, a meeting, convened by the local provider or lead agency, between the parent and the relevant member(s) of the IFSP Team to discuss the parent's due process complaint and the facts that form the basis of the due process complaint so that

the lead agency has the opportunity to resolve the dispute that is the basis for the due process complaint.

Resolution period – For States that have adopted Part B due process hearing procedures, 30 days from the receipt of a due process complaint unless the period is adjusted because: (1) both parties agree in writing to waive the resolution meeting; or (2) after either the mediation or resolution meeting starts, but before the end of the 30-day period, the parties agree in writing that no agreement is possible; or (3) if both parties agree in writing to continue the mediation at the end of the 30-day resolution period, but later, the parent or local provider or lead agency withdraws from the mediation process.

Written settlement agreement – A legally binding written document, signed by the parent and a representative of the lead agency who has authority to bind the lead agency, specifying the resolution of the dispute that formed the basis for a due process complaint arrived at in a resolution meeting. For the purposes of reporting on Table 4, a written settlement agreement is one that fully resolves all issues of the due process complaint and negates the need for a due process hearing.

Written, signed complaint – A signed, written document submitted to a lead agency by an individual or organization (complainant) that alleges a violation of a requirement of Part C of IDEA or 34 CFR 303, including cases in which some required content is absent from the document



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